



Corrections and Community Supervision

Unusual Incident Report

January – December 2020, with Ten-Year Trends from 2011 - 2020

Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all incarcerated individuals and staff listed in incidents, along with information about them, such as whether an injury was sustained by an incarcerated individual or a staff member, whether an incarcerated individual used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2020), along with comparisons to trends in unusual incidents in the past ten years (2011 - 2020).

The report only covers incidents that occurred at DOCCS correctional facilities, and does not include incidents that took place at a Parole Diversion Program (PDP), Willard Drug Treatment Campus (WDTC), or those incidents involving releasees.

Table 1. Unusual Incident Type by Year: 2011 - 2020

Incident Type	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Accident	463	534	572	574	521	534	554	572	584	370
Assault on Incarcerated Individual	666	652	767	860	915	1,135	1,225	1,165	1,264	1,205
Assault on Other	2	4	7	8	7	12	9	11	12	5
Assault on Staff	563	524	645	747	895	759	800	972	1,034	1,048
Contraband	2,452	2,546	2,959	3,612	4,748	4,745	5,234	5,337	6,126	5,638
Death	123	123	145	131	124	152	158	142	118	130
Disruption of Facility	13	7	4	10	7	11	13	19	17	33
Disruptive Behavior	365	468	521	506	567	632	951	1,277	1,748	1,723
Employee Job Action	2	1	0	0	0	0	2	1	0	1
Employee Misconduct	130	140	120	117	116	106	93	103	94	70
Escape	4	1	1	0	2	1	0	1	0	0
Escape Attempt	2	2	1	2	1	1	2	5	4	5
Fire	30	22	34	16	30	24	25	22	25	55
Mass Demonstration	1	0	0	1	0	2	1	1	2	5
Property Destroyed	14	14	3	3	4	3	8	5	10	9
Property Lost or Stolen	83	76	75	57	69	79	83	94	71	43
Self-Inflicted Injury	80	114	74	91	73	77	113	115	77	58
Sexual Misconduct	63	53	51	58	69	39	40	45	34	15
Suicide Attempt	132	145	160	182	157	187	145	149	206	176
Temp Release Related	73	36	29	28	17	23	43	32	20	143
Weapons Used by Staff	170	143	203	191	205	293	714	1,217	1,847	1,930
Other Incidents	549	487	532	540	603	971	890	828	1,117	1,208
Total	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113	14,410	13,870

Table 1 displays the number of unusual incidents by type from 2011 through 2020. The number of unusual incidents has increased 132% from 5,980 in 2011 to 13,870 in 2020. These higher incident numbers were largely due to increases in assaults on incarcerated individuals (+81%), assaults on staff (+86%), contraband (+130%), disruptive behavior (+372%), and staff use of weapons (+1,035%).

The total number of unusual incidents decreased by 4% between 2019 and 2020, with the largest decrease in contraband incidents (-488).

Table 2. Other Unusual Incidents by Type and Year: 2011 - 2020

Incident Type	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
AED Use	49	51	67	65	81	127	119	97	101	87
Arrest of Non-Releasee/Non-Employee	0	0	1	1	1	0	0	2	1	0
Body Fluid/Human Waste- Attempted Assault	2	1	3	2	4	5	7	7	9	1
Contagious Disease	0	1	0	0	0	0	0	0	14	263
Drone	0	0	0	0	0	0	0	0	4	5
Employee Order of Protection	0	0	0	0	0	0	0	0	15	11
Erroneous Release	3	3	2	1	6	4	1	1	2	2
Family Reunion Visit Other	3	2	3	6	3	3	3	1	2	0
Family Reunion Visit Termination	16	13	8	5	5	11	8	11	7	2
Hunger Strike	20	26	16	24	30	26	33	26	23	20
Medical Emergency	3	2	2	9	7	1	1	0	0	3
Narcan Use	0	0	0	0	0	0	0	0	281	429
Overall Facility Search	8	4	9	6	8	9	5	6	6	1
Pregnant Individual Shackled	0	0	0	0	0	0	1	1	2	0
Pregnant Individual- Staff in Delivery Room	0	0	0	0	0	2	0	3	0	0
Restraint Chair Use	10	4	5	7	3	6	12	5	3	5
Significant Exposure	32	31	35	38	46	49	42	56	61	64
Spit Net Use	50	50	63	65	81	85	81	108	113	83
Visitor Arrest	117	126	107	126	172	389	475	408	406	194
Visitor Limited Visual Search	222	161	200	165	144	222	74	82	55	33
Visitor Strip Search	1	2	0	1	3	4	1	1	2	0
Other	13	10	11	19	9	28	27	13	10	5
Total	549	487	532	540	603	971	890	828	1,117	1,208

Table 2 presents the specific unusual incident types that are combined together under the label of other incidents in Table 1. There were 1,208 other incidents in 2020, compared to 549 in 2011, an increase of 120%. The largest category among other incidents is use of Narcan. There were 429 incidents of Narcan used in 2020, which accounted for 36% of other unusual incidents.

Table 3. Select Incidents by Facility, January - December 2020

Correctional Facility	Assault on Incar. Individ.	Assault on Staff	Contraband	Disruptive Behavior	Weapons Used by Staff	All Other	Total Incidents
Maximum Security							
Attica	50	29	334	105	113	111	742
Auburn	63	54	318	49	68	58	610
Bedford Hills	1	13	36	9	1	36	96
Clinton	129	46	303	169	226	152	1,025
Coxsackie	39	36	127	40	55	30	327
Downstate	11	24	47	11	9	45	147
Eastern	7	6	54	13	9	38	127
Elmira	105	42	164	56	79	78	524
Five Points	49	30	177	119	140	61	576
Great Meadow	75	66	337	125	172	96	871
Green Haven	69	91	212	77	110	165	724
Shawangunk	4	5	43	6	6	50	114
Sing Sing	29	47	133	52	66	84	411
Southport	11	46	60	39	48	25	229
Sullivan	14	39	85	53	47	61	299
Upstate	6	14	63	46	42	32	203
Wende	24	28	93	46	74	53	318
Sub-total	686	616	2,586	1,015	1,265	1,175	7,343
Special Housing SHU200							
Collins SHU200	0	14	19	11	9	5	58
Fishkill SHU200	0	6	10	8	12	4	40
Gouverneur SHU200	0	2	17	5	2	6	32
Greene SHU200	0	10	10	12	8	8	48
Mid-State SHU200	0	5	1	2	2	2	12
Orleans SHU200	0	2	8	0	0	1	11
Sub-total	0	39	65	38	33	26	201
Residential Mental Health Treatment Unit							
Coxsackie RMHU	0	10	4	5	1	3	23
Five Points RMHU	1	22	10	16	13	19	81
Marcy RMHU	2	49	15	27	25	25	143
Bedford Hills TBU	0	14	1	4	4	4	27
Great Meadow BHU	0	19	11	20	11	14	75
Sub-total	3	114	41	72	54	65	349
Regional Medical Unit							
Bedford Hills RMU	0	0	0	0	0	3	3
Coxsackie RMU	0	2	2	0	1	15	20
Walsh RMU	1	2	3	1	0	38	45
Wende RMU	0	1	2	2	3	13	21
Sub-total	1	5	7	3	4	69	89
Total Maximum Security	690	774	2,699	1,128	1,356	1,335	7,982

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Table 3. Select Incidents by Facility, January - December 2020 (cont.)

Correctional Facility	Assault on Incar. Indiv.	Assault on Staff	Contraband	Disruptive Behavior	Weapons Used by Staff	All Other	Total Incidents
Medium Security							
Adirondack	0	0	1	0	0	7	8
Albion	1	4	35	18	11	25	94
Altona	0	3	36	1	2	5	47
Bare Hill	23	11	146	14	13	35	242
Cape Vincent	28	5	97	18	26	27	201
Cayuga	8	8	70	16	15	31	148
Collins	39	24	169	36	34	40	342
Fishkill	29	28	173	51	50	74	405
Franklin	57	15	256	24	17	35	404
Gouverneur	10	7	206	22	19	30	294
Gowanda	15	9	89	10	8	34	165
Greene	88	13	214	46	58	48	467
Groveland	22	13	100	22	20	33	210
Hale Creek	0	3	13	3	3	6	28
Hudson	0	1	1	0	0	3	5
Lakeview	1	0	2	3	2	3	11
Marcy	31	19	175	98	117	43	483
Midstate	25	36	163	48	28	81	381
Mohawk	18	14	165	42	44	35	318
Ogdensburg	1	3	20	2	1	8	35
Orleans	3	3	132	14	15	11	178
Otisville	3	3	19	7	4	12	48
Riverview	16	10	78	14	18	18	154
Taconic	1	0	9	2	1	6	19
Ulster	3	4	82	12	5	27	133
Wallkill	1	5	62	10	6	22	106
Washington	71	10	139	24	22	29	295
Watertown	0	2	37	6	2	11	58
Woodbourne	0	3	53	2	2	27	87
Wyoming	21	6	159	23	17	37	263
Sub-total	515	262	2,901	588	560	803	5,629
Regional Medical Unit							
Fishkill RMU	0	1	0	0	0	6	7
Total Medium Security	515	263	2,901	588	560	809	5,636
Shock							
Lakeview Shock	0	2	3	2	2	6	15
Moriah Shock	0	0	1	0	0	3	4
Sub-total	0	2	4	2	2	9	19
Minimum Security							
Albion Work Release	0	0	0	0	0	3	3
Collins Work Release	0	0	1	1	0	52	54
Edgecombe	0	1	4	0	1	0	6
Edgecombe Work Release	0	0	3	0	1	13	17
Fishkill Work Release	0	0	0	0	0	8	8
Hudson Ind Train	0	0	3	1	0	0	4
Hudson Work Release	0	0	15	0	0	16	31
Lakeview Male Trans	0	2	1	2	2	1	8
Queensboro	0	3	1	0	1	9	14
Queensboro Work Release	0	3	5	1	1	53	63
Rochester	0	0	1	0	0	13	14
Sub-total	0	9	34	5	6	168	222
Total Minimum Security	0	11	38	7	8	177	241
Other							
Central Office	0	0	0	0	6	5	11
Grand Total	1,205	1,048	5,638	1,723	1,930	2,326	13,870

The types of contraband found in DOCCS facilities in the ten-year period from 2011 to 2020 is presented in Table 4A. Between 2011 and 2020, the total number of contraband incidents increased by 130%. Between 2019 and 2020, the number of contraband incidents decreased by 8%. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2020, synthetic drugs accounted for 47% of the drug contraband incidents, a decrease from 2019 when synthetic drugs comprised 53% of the drug contraband incidents.

Between 2011 and 2020, besides synthetic drugs, the largest contraband increases were among prescription drugs (+445%) and cutting/stabbing weapons (+54%). During that time, cell phone recoveries decreased by 45%.

Table 4B displays the type of contraband recovered at each DOCCS facility during 2020.

Table 4A. Contraband Incidents by Type: 2011 - 2020

Contraband Type	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Alcohol										
Commercial Alcohol	7	6	14	13	9	5	11	10	15	7
Homemade Alcohol	1	0	4	5	0	3	5	4	13	16
Sub-total	8	6	18	18	9	8	16	14	28	23
Drug										
Cocaine	26	15	27	25	42	48	54	31	56	24
Fentanyl	0	0	0	0	0	0	0	0	8	18
Heroin	51	47	55	66	82	78	58	52	38	35
Illegal Drug	0	2	4	0	0	0	0	0	0	0
Marijuana	574	547	524	475	523	672	662	552	506	312
Prescription Drug	199	238	343	416	606	797	945	968	981	1,085
Synthetic Drug	0	2	248	674	1,104	838	1,105	1,223	1,912	1,669
Other Drug	59	147	71	61	98	83	102	103	129	400
Sub-total	909	998	1,272	1,717	2,455	2,516	2,926	2,929	3,630	3,543
Electronic Device										
Cell Phone	66	71	58	59	71	71	55	53	65	36
Media Storage: CD/DVD/USB	0	26	26	16	27	22	26	23	31	6
Other Electronic Device	42	33	15	29	53	41	35	33	50	28
Sub-total	108	130	99	104	151	134	116	109	146	70
Weapon Cut/Stab										
Can Lid	199	176	230	237	267	216	136	149	150	130
Cutting Instrument	212	188	206	334	476	456	512	511	452	347
Hardback Razorblade	8	9	8	7	6	11	3	4	6	3
Ice Pick	156	180	242	261	323	279	259	255	252	276
Manufactured Knife	14	21	20	17	32	32	16	26	31	22
Razor Blade	224	256	256	269	321	354	362	344	364	272
Scalpel/Exacto Blade	80	97	68	106	140	193	242	258	223	152
Shank	204	204	227	244	210	204	263	262	343	370
Toothbrush	76	77	96	90	97	100	142	232	261	249
Utility Razorblade	18	9	25	19	20	19	24	20	22	15
Sub-total	1,191	1,217	1,378	1,584	1,892	1,864	1,959	2,061	2,104	1,836
Weapon Other										
Ammunition	6	13	6	6	9	9	6	9	20	1
Explosive	0	0	0	0	0	1	0	0	0	0
Manufactured Gun	1	1	0	0	1	0	1	1	1	0
Weighted Cloth	26	32	30	20	38	24	22	21	26	23
Other Weapons	55	41	54	59	68	56	59	70	56	72
Sub-total	88	87	90	85	116	90	88	101	103	96
Other Contraband										
Cash	86	70	67	59	79	81	84	62	76	41
Hypodermic Needle/Syringe	9	6	8	12	11	17	12	14	5	4
Escape Paraphernalia	0	0	0	0	0	0	0	0	2	6
Other	53	32	27	33	35	35	33	47	32	19
Sub-total	148	108	102	104	125	133	129	123	115	70
Grand Total	2,452	2,546	2,959	3,612	4,748	4,745	5,234	5,337	6,126	5,638

Table 4B. Number of Contraband Incidents by Facility: January - December 2020

Facility and Security Level	Alcohol	Drug	Electronic Device	Weapon Cut/Stab	Weapon Other	Other Contraband	Total
Maximum Security							
Attica	0	201	3	127	1	2	334
Auburn	7	144	1	157	4	5	318
Bedford Hills	0	34	1	1	0	0	36
Clinton	0	122	3	171	3	4	303
Coxsackie	1	78	4	42	0	2	127
Downstate	0	29	3	14	0	1	47
Eastern	0	33	2	16	0	3	54
Elmira	0	53	0	105	5	1	164
Five Points	0	81	1	91	2	2	177
Great Meadow	0	160	0	170	5	2	337
Green Haven	2	79	4	115	5	7	212
Shawangunk	0	32	2	8	0	1	43
Sing Sing	5	63	9	51	2	3	133
Southport	0	36	0	22	2	0	60
Sullivan	0	48	2	32	3	0	85
Upstate	0	51	0	12	0	0	63
Wende	0	44	1	46	1	1	93
Sub-total	15	1,288	36	1,180	33	34	2,586
SHU 200							
Collins SHU200	0	16	0	2	1	0	19
Fishkill SHU200	0	4	0	6	0	0	10
Gouverneur SHU200	0	15	0	2	0	0	17
Greene SHU200	0	2	0	8	0	0	10
Mid-State SHU200	0	0	0	1	0	0	1
Orleans SHU200	0	8	0	0	0	0	8
Sub-total	0	45	0	19	1	0	65
RMHTU							
Bedford Hills TBU	0	1	0	0	0	0	1
Coxsackie RMHU	0	1	0	2	1	0	4
Five Points RMHU	0	1	0	6	0	3	10
Great Meadow BHU	0	0	0	11	0	0	11
Marcy RMHU	0	9	0	3	2	1	15
Sub-total	0	12	0	22	3	4	41
Regional Medical Unit							
Coxsackie RMU	0	0	1	0	0	1	2
Walsh RMU	0	1	0	1	1	0	3
Wende RMU	0	2	0	0	0	0	2
Sub-total	0	3	1	1	1	1	7
Total Maximum Security	15	1,348	37	1,222	38	39	2,699

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Table 4B. Number of Contraband Incidents by Facility: January - December 2020 (cont.)

Facility and Security Level	Alcohol	Drug	Electronic Device	Weapon Cut/Stab	Weapon Other	Other Contraband	Total
Medium Security							
Adirondack	0	0	0	1	0	0	1
Albion	0	28	1	4	0	2	35
Altona	0	27	2	6	0	1	36
Bare Hill	0	129	0	16	1	0	146
Cape Vincent	0	68	0	27	2	0	97
Cayuga	0	57	0	12	1	0	70
Collins	0	120	1	40	6	2	169
Fishkill	3	118	1	50	0	1	173
Franklin	0	199	0	52	4	1	256
Gouverneur	0	191	1	14	0	0	206
Gowanda	0	70	0	17	1	1	89
Greene	1	132	13	60	4	4	214
Groveland	0	65	0	32	3	0	100
Hale Creek	0	12	1	0	0	0	13
Hudson	0	1	0	0	0	0	1
Lakeview	0	1	0	1	0	0	2
Marcy	0	123	1	46	5	0	175
Midstate	0	103	1	53	3	3	163
Mohawk	0	127	0	35	2	1	165
Ogdensburg	0	19	0	1	0	0	20
Orleans	3	120	0	6	3	0	132
Otisville	0	17	0	1	0	1	19
Riverview	0	46	1	27	4	0	78
Taconic	0	9	0	0	0	0	9
Ulster	0	66	0	14	1	1	82
Wallkill	0	49	2	10	1	0	62
Washington	1	81	1	38	16	2	139
Watertown	0	32	0	5	0	0	37
Woodbourne	0	46	0	7	0	0	53
Wyoming	0	119	0	36	1	3	159
Sub-total	8	2,175	26	611	58	23	2,901
Shock							
Lakeview Shock	0	3	0	0	0	0	3
Moriah Shock	0	0	0	1	0	0	1
Sub-total	0	3	0	1	0	0	4
Minimum Security							
Collins Work Release	0	1	0	0	0	0	1
Edgecombe	0	4	0	0	0	0	4
Edgecombe Work Release	0	3	0	0	0	0	3
Hudson Ind Train	0	0	1	1	0	1	3
Hudson Work Release	0	5	5	0	0	5	15
Lakeview Male Trans	0	0	0	1	0	0	1
Queensboro	0	1	0	0	0	0	1
Queensboro Work Release	0	2	1	0	0	2	5
Rochester	0	1	0	0	0	0	1
Sub-total	0	17	7	2	0	8	34
Other							
Central Office	0	0	0	0	0	0	0
Grand Total	23	3,543	70	1,836	96	70	5,638

Table 5. Unusual Incident Type by Location: January - December 2020

Incident Type	Admin Areas	Assembly or Gym	Hospital	Housing Unit	Mental Health Units	Mess Hall	Outside Facility	Shop or Class	SHU	Visit/ Process	Walkway or Corridor	Yard	Other	Total
Accident	4	38	26	101	6	16	36	10	4	1	43	67	18	370
Assault on Incar. Individ.	0	27	5	548	14	57	3	10	12	1	158	341	29	1,205
Assault on Other	0	0	0	0	0	0	2	0	0	3	0	0	0	5
Assault on Staff	6	12	55	395	151	26	15	9	239	5	80	22	33	1,048
Contraband	606	32	135	1,864	63	112	83	50	205	1,065	398	372	653	5,638
Death	0	1	35	28	3	0	60	0	3	0	0	0	0	130
Disruption of Facility	8	1	1	11	0	0	4	1	0	2	1	0	4	33
Disruptive Behavior	1	22	66	760	135	103	5	15	202	3	126	236	49	1,723
Employee Job Action	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Employee Misconduct	4	0	1	0	0	0	60	1	0	0	0	0	4	70
Escape Attempt	1	0	0	1	0	0	1	0	1	0	0	0	1	5
Fire	0	0	1	38	10	0	1	2	1	0	0	0	2	55
Mass Demonstration	0	0	0	3	0	1	0	0	0	0	0	1	0	5
Property Destroyed	1	0	4	1	1	0	1	0	1	0	0	0	0	9
Property Lost or Stolen	6	0	5	1	1	0	16	2	1	0	0	0	11	43
Self-Inflicted Injury	0	0	13	12	24	0	1	0	6	0	0	0	2	58
Sexual Misconduct	0	1	0	7	0	0	0	0	0	6	0	0	1	15
Suicide Attempt	0	0	12	57	42	0	0	0	62	0	0	0	3	176
Temp Release Related	0	0	0	0	0	0	142	0	0	0	0	0	1	143
Weapons Used by Staff	2	34	46	816	123	131	14	19	181	4	155	349	56	1,930
Other Incidents	97	2	384	311	50	6	96	2	75	97	20	36	32	1,208
Total	736	170	789	4,954	623	452	540	121	993	1,187	981	1,424	900	13,870
Percent	5%	1%	6%	36%	4%	3%	4%	1%	7%	9%	7%	10%	6%	100%

* Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 5 displays incident type by location for 2020. For most incident types, the most frequent incident location was housing units. This was the case for assaults on incarcerated individuals, assaults on staff, contraband, disruptive behavior, and several other incident types.

Table 6. Unusual Incident Type by Incarcerated Individual Weapon Use: January - December 2020

Incident Type	No Weapon Used	Body as Weapon	Clubs	Cut/Stab Weapon	Garrotte	Human Waste/ Fluids	Metal Objects	Other	Unknown	Total Individuals
Accident	308	1	0	0	0	0	0	0	0	309
Assault on Incar. Indiv.	1,028	240	80	1,050	0	2	23	73	33	2,529
Assault on Other	0	3	0	0	0	0	0	1	0	4
Assault on Staff	65	694	25	12	0	110	2	215	0	1,123
Contraband	5,784	14	3	9	0	0	0	0	0	5,810
Death	122	0	0	4	7	0	0	1	0	134
Disruption of Facility	21	0	0	0	0	0	0	0	0	21
Disruptive Behavior	979	2,440	1	4	0	1	0	3	0	3,428
Escape Attempt	5	0	0	0	0	0	0	0	0	5
Fire	104	0	0	0	0	0	0	2	0	106
Mass Demonstration	41	0	0	0	0	0	0	0	0	41
Property Destroyed	4	1	1	0	0	0	0	0	0	6
Property Lost or Stolen	28	0	0	0	0	0	0	0	0	28
Self-Inflicted Injury	10	2	0	28	1	0	0	15	2	58
Sexual Misconduct	23	1	0	0	0	0	0	0	0	24
Suicide Attempt	39	2	0	44	87	0	0	15	2	189
Temp Release Related	142	0	0	0	0	0	0	1	0	143
Weapons Used by Staff	3,049	0	0	0	0	0	0	0	0	3,049
Other Incidents	4,181	0	0	0	0	7	0	0	0	4,188
Total	15,933	3,398	110	1,151	95	120	25	326	37	21,195
Percent	75%	16%	1%	5%	0%	1%	0%	2%	0%	100%

Table 6 details the weapons used by incarcerated individuals in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous tables in this report were incident-based. This section of the report focuses on all incarcerated individuals involved in incidents. There were 21,195 instances where incarcerated individuals were associated with unusual incidents in 2020; these are not 21,195 unique incarcerated individuals. Many incarcerated individuals are involved in multiple incidents; the numbers presented here reflect each instance where an incarcerated individual is connected to an incident.

No weapons were used by 15,933 (75%) of the incarcerated individuals linked to incidents. Thus, there were 5,262 incarcerated individuals who did use weapons. Of those who used a weapon, 3,398 (65%) used a fist, kick or other body attack. Of those using weapons, 1,151 incarcerated individuals (22%) used cutting or stabbing weapons.

**Table 7. Unusual Incident Type by Most Serious Level of Staff Use of Force:
January - December 2020**

Incident Type	No Force	Body Hold	Mechanical Restraint	Shield	Strike	Chemical Agents	Baton	Firearm	Other	Total
Accident	370	0	0	0	0	0	0	0	0	370
Assault on Incar. Individ.	915	44	2	0	1	236	6	1	0	1,205
Assault On Other	5	0	0	0	0	0	0	0	0	5
Assault On Staff	404	263	51	14	55	246	12	0	3	1,048
Contraband	5,525	82	6	1	1	22	1	0	0	5,638
Death	130	0	0	0	0	0	0	0	0	130
Disruption of Facility	33	0	0	0	0	0	0	0	0	33
Disruptive Behavior	283	105	12	47	4	1,255	16	0	1	1,723
Employee Job Action	1	0	0	0	0	0	0	0	0	1
Employee Misconduct	70	0	0	0	0	0	0	0	0	70
Escape Attempt	2	0	1	0	0	2	0	0	0	5
Fire	50	3	0	1	0	1	0	0	0	55
Mass Demonstration	4	1	0	0	0	0	0	0	0	5
Property Destruction	8	1	0	0	0	0	0	0	0	9
Property Lost Stolen	43	0	0	0	0	0	0	0	0	43
Self-Injury	53	2	0	1	0	2	0	0	0	58
Sexual Misconduct	15	0	0	0	0	0	0	0	0	15
Suicide Attempt	161	6	2	4	0	3	0	0	0	176
Temporary Release	143	0	0	0	0	0	0	0	0	143
Weapons Used by Staff	1,899	3	0	0	0	25	0	0	3	1,930
Other Incidents	1,149	38	8	1	4	1	0	0	7	1,208
TOTAL	11,263	548	82	69	65	1,793	35	1	14	13,870
Percent	81%	4%	1%	0%	0%	13%	0%	0%	0%	100%

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Tables 7 and 8 detail the degree of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Staff force was used in 2,607 (19%) incidents in 2020.

Table 7 displays the most serious type of staff force used in each of the incident types that occurred in 2020. No staff force was used in 11,263 (81%) incidents. Of the 2,607 incidents where force was used, 1,793 (69%) involved the use of chemical agents.

Table 8 displays staff use of force for the ten-year period from 2011 to 2020. In 2011, there were 5,980 unusual incidents, with staff force used in 835 (14%) of the incidents. In 2020, the number of incidents where staff force was used increased to 2,607, or 19% of all incidents. Between 2019 and 2020, incidents with staff use of force decreased by 3%, from 2,678 to 2,607, while the proportion of incidents with staff use of force remained unchanged at 19%. Chemical agent use increased by 4%, from 1,716 in 2019 to 1,793 in 2020. Body hold, shield, and baton use all declined between 2019 and 2020.

Table 8. Staff Use of Force in Unusual Incidents by Security Level: 2011 - 2020

Type of Force	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Maximum Security										
No Force Used	3,292	3,193	3,505	3,554	3,939	4,424	5,302	5,705	6,618	6,126
Body Hold	375	345	367	378	415	371	394	466	491	402
Mechanic Restraint	75	77	70	69	88	56	61	58	51	65
Shield	35	48	54	56	64	72	82	86	71	66
Strike	49	87	116	152	160	70	57	55	51	54
Chemical Agents	51	54	64	54	54	184	548	929	1,220	1,226
Baton	107	84	122	117	128	79	66	58	46	35
Firearm	1	0	1	2	6	2	4	0	4	1
Other	10	7	17	19	15	24	25	10	8	7
Sub-total	3,995	3,895	4,316	4,401	4,869	5,282	6,539	7,367	8,560	7,982
Medium Security										
No Force Used	1,679	1,906	2,261	2,902	3,821	4,148	4,102	4,143	4,925	4,904
Body Hold	94	110	128	167	225	180	179	192	168	138
Mechanic Restraint	18	18	24	37	39	24	31	21	29	17
Shield	2	4	3	4	2	3	1	7	6	3
Strike	4	9	21	20	30	17	20	23	10	11
Chemical Agents	0	2	1	1	1	7	42	159	495	560
Baton	3	1	3	12	14	6	5	4	3	0
Other	1	0	7	3	5	3	2	6	5	3
Sub-total	1,801	2,050	2,448	3,146	4,137	4,388	4,382	4,555	5,641	5,636
Minimum Security										
No Force Used	170	128	121	156	109	96	142	165	170	229
Body Hold	8	1	10	16	12	5	16	8	16	5
Mechanic Restraint	2	0	2	1	1	1	1	0	1	0
Strike	0	0	1	2	2	2	3	1	0	0
Chemical Agents	0	0	0	0	0	0	0	1	0	7
Baton	0	0	0	0	0	0	2	0	0	0
Firearm	0	0	0	0	0	0	1	0	0	0
Sub-total	180	129	134	175	124	104	165	175	187	241
Other										
No Force Used	4	18	5	11	0	12	16	16	19	4
Body Hold	0	0	0	0	0	0	0	0	0	3
Strike	0	0	0	1	0	0	1	0	0	0
Chemical Agents	0	0	0	0	0	0	0	0	1	0
Other	0	0	0	0	0	0	0	0	2	4
Sub-total	4	18	5	12	0	12	17	16	22	11
All Security Levels										
No Force Used	5,145	5,245	5,892	6,623	7,869	8,680	9,562	10,029	11,732	11,263
Body Hold	477	456	505	561	652	556	589	666	675	548
Mechanic Restraint	95	95	96	107	128	81	93	79	81	82
Shield	37	52	57	60	66	75	83	93	77	69
Strike	53	96	138	175	192	89	81	79	61	65
Chemical Agents	51	56	65	55	55	191	590	1,089	1,716	1,793
Baton	110	85	125	129	142	85	73	62	49	35
Firearm	1	0	1	2	6	2	5	0	4	1
Other	11	7	24	22	20	27	27	16	15	14
Grand Total	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113	14,410	13,870

Note: This table is based upon a count of the total number of incidents and the highest level of force used in each incident and is not a count of all the times that staff used force.

Table 9. Unusual Incident Type by Degree of Staff Injury: January - December 2020

Incident Type	No Injury		Minor		Moderate		Serious		Severe		Total Staff
	#	%	#	%	#	%	#	%	#	%	
Accident	1,321	95.4%	9	0.6%	10	0.7%	45	3.2%	0	0.0%	1,385
Assault on Incar. Individ.	4,758	97.7%	107	2.2%	1	0.0%	3	0.1%	0	0.0%	4,869
Assault on Other	22	95.7%	1	4.3%	0	0.0%	0	0.0%	0	0.0%	23
Assault on Staff	4,278	75.3%	1,333	23.5%	44	0.8%	24	0.4%	0	0.0%	5,679
Contraband	21,467	99.5%	101	0.5%	1	0.0%	2	0.0%	0	0.0%	21,571
Death	1,519	99.5%	4	0.3%	0	0.0%	1	0.1%	3	0.2%	1,527
Disruption of Facility	290	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	290
Disruptive Behavior	8,293	92.8%	632	7.1%	3	0.0%	8	0.1%	1	0.0%	8,937
Employee Job Action	36	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	36
Employee Misconduct	349	99.7%	1	0.3%	0	0.0%	0	0.0%	0	0.0%	350
Escape Attempt	23	71.9%	8	25.0%	1	3.1%	0	0.0%	0	0.0%	32
Fire	327	76.8%	98	23.0%	1	0.2%	0	0.0%	0	0.0%	426
Mass Demonstration	41	97.6%	1	2.4%	0	0.0%	0	0.0%	0	0.0%	42
Property Destroyed	38	92.7%	3	7.3%	0	0.0%	0	0.0%	0	0.0%	41
Property Lost or Stolen	187	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	187
Self-Inflicted Injury	263	98.5%	4	1.5%	0	0.0%	0	0.0%	0	0.0%	267
Sexual Misconduct	80	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	80
Suicide Attempt	930	98.9%	10	1.1%	0	0.0%	0	0.0%	0	0.0%	940
Temp Release Related	557	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	557
Weapons Used by Staff	5,016	99.9%	4	0.1%	1	0.0%	0	0.0%	0	0.0%	5,021
Other Incidents	4,990	98.3%	36	0.7%	45	0.9%	3	0.1%	0	0.0%	5,074
Total	54,785	95.6%	2,352	4.1%	107	0.2%	86	0.1%	4	0.0%	57,334

Table 9 details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. Each number here represents a staff member who was listed in an unusual incident. Staff members can respond to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of staff members who were involved in an incident or incident category.

The majority of staff involved in incidents (96%) were not injured. Assaults on staff had the highest number of staff injuries (1,401). Of those 1,401 injuries, 95% (1,333) resulted in only minor injuries. Accidents had the highest number of serious and severe injuries (45) of any incident type.

Table 10. Unusual Incident Type by Degree of Incarcerated Individual Injury: January - December 2020

Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total Individuals
Accident	27	14	82	185	1	309
Assault on Incar. Individ.	891	1,162	390	83	3	2,529
Assault on Staff	732	364	15	11	0	1,122
Assault on Other	4	0	0	0	0	4
Contraband	5,706	98	5	1	0	5,810
Death	127	1	0	1	5	134
Disruptive Behavior	2,083	1,072	83	187	1	3,426
Disruption of Facility	21	0	0	0	0	21
Escape Attempt	3	2	0	0	0	5
Fire	79	26	0	1	0	106
Mass Demonstration	41	0	0	0	0	41
Property Destroyed	4	2	0	0	0	6
Property Lost or Stolen	28	0	0	0	0	28
Self-Inflicted Injury	4	5	44	4	1	58
Sexual Misconduct	22	2	0	0	0	24
Suicide Attempt	82	78	24	4	1	189
Temp Release Related	141	0	0	1	0	142
Weapons Used by Staff	3,042	4	0	0	0	3,046
Other Incidents	4,032	46	3	108	0	4,189
Total	17,069	2,876	646	586	12	21,189
Percent	81%	14%	3%	3%	0%	100%

Table 10 details the injuries to DOCCS incarcerated individuals, including the number of incarcerated individuals injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. Each number here represents an incarcerated individual who was listed in an unusual incident. Incarcerated individuals can be attached to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of incarcerated individuals who were involved in an incident or incident category. Assaults on incarcerated individuals had the highest number of incarcerated individual injuries (1,638), while disruptive behavior had the highest number of serious and severe injuries (188).

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